

01/01

04-18-01

Sheet 1 of 4

TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney Docket No.: HOLOS-005XX

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP

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Boston, Massachusetts 02109  
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Express Mail No: EL634464826U9

Date: April 17, 2001

First Named Inventor or Application  
Identifier: F. Joseph Pompei

BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith under 37 CFR § 1.53 for filing is the patent application of:

Inventor: F. Joseph Pompei

Entitled: PARAMETRIC AUDIO AMPLIFIER SYSTEM

☐ This is a request for filing a ☐ **continuation** ☐ **divisional**  
☐ **continuation in-part** application under \$1.53(b) of prior Application  
No. \_\_\_\_\_, filed \_\_\_\_\_, entitled:

Enclosed are:

- ☒ 25 pages of written description, claims and Abstract, inclusive
- ☒ 4 sheets of ☐ informal ☒ formal drawings of Figs. 1-4 (one set)
- ☒ Oath or Declaration
- ☒ Newly executed (original or copy)
- ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ To be filed later
- ☐ Cover sheet and Assignment of the invention to:
- ☐ Certified copy of a \_\_\_\_\_ application (if foreign priority is claimed) with letter claiming priority under Rule 55.
- ☐ Information Disclosure Statement with \_\_\_ citations
- ☐ Preliminary amendment is enclosed.
- ☒ Return receipt postcard
- ☒ Small entity status is entitled to be, and hereby is, asserted for this application.

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**TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)**

- [ ] Other: \_\_\_\_\_
- [ ] Priority is claimed under 35 USC § 120 as indicated on the attached sheet 4.
- [ ] Priority is claimed under 35 USC §119(a)-(d) as indicated on the attached sheet 4.
- [X] Priority is claimed under 35 USC §119 (e) as indicated on the attached sheet 4.
- [ ] \_\_\_\_\_ is hereby appointed Associate Attorney by:  
Registration No.:

\_\_\_\_\_  
Attorney of Record  
Registration No.:

- [ ] Power of Attorney in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as Richard E. Gamache, are hereby given associate power:  
Registration No.: 39,196
- |  |                                     |
|--|-------------------------------------|
| Stanley M. Schurgin, Reg. No. 20,979     | Eugene A. Feher, Reg. No. 33,171    |
| Charles L. Gagnebin III, Reg. No. 25,467 | Beverly E. Hjorth, Reg. No. 32,033  |
| Paul J. Hayes, Reg. No. 28,307           | Holliday C. Heine, Reg. No. 34,346  |
| Victor B. Lebovici, Reg. No. 30,864      | Gordon R. Moriarty, Reg. No. 38,973 |
|  | James F. Thompson, Reg. No. 36,699  |
- [ ] Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee.
- [ ] Add in this application claims \_\_\_\_\_ per amendment before calculating fee.

CLAIMS FILED:	MINUS BASE:	EXTRA CLAIMS:	RATE:	BASIC FEE:
				\$710.00
Independent	2 - 3	= 0	x \$80.00 =	0.00
Total	21 - 20	= 1	x \$18.00 =	18.00
[ ] Multiple Dependent Claims (1st presentation)			+ \$270.00 =	0.00
SUBTOTAL FILING FEE				728.00
Small Entity filing, divide by 2. (Small Entity status must be asserted.)				364.00
TOTAL FILING FEE				364.00

Attorney Docket No.: HOLOS-005XX

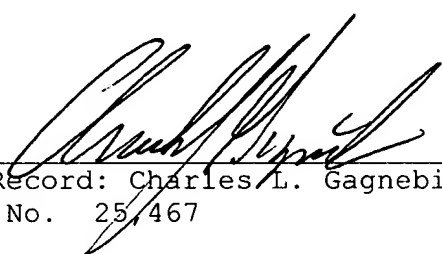
**TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)**

- [X] The filing fee has been calculated above; a check in the amount of \$364.00 is enclosed.
- [ ] The filing fee will be submitted at a later date.
- [X] In the event a Petition for Extension of Time under 37 CFR §1.17 is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.
- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees under 37 CFR §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

**[X] Customer Number 207**

Address all future communications to:

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP  
Ten Post Office Square  
Boston, Massachusetts 02109  
Telephone: (617) 542-2290  
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\_\_\_\_\_  
Attorney of Record: Charles L. Gagnebin III  
Registration No. 25,467

Attorney Docket No.: HOLOS-005XX

**TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)**

☐ Priority is claimed under 35 USC § 120 of prior Application(s)  
No. \_\_\_\_\_, filed \_\_\_\_\_, entitled:

☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (a)-(d) of the following application(s).

_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (e) of the following provisional application(s).

60/197,933 _____ (Application Number)	April 17, 2000 _____ (Filing Date)
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_____ (Application Number)	_____ (Filing Date)
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_____ (Application Number)	_____ (Filing Date)
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☐ The above-identified provisional application(s) is/are assigned of record to:


SUBMIT IN TRIPLICATE  
248108

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	F. Joseph Pompei
	Title	PARAMETRIC AUDIO AMPLIFIER SYSTEM
	Atty Docket Number	HOLOS-005XX

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4-17-1  
Date

  
Signature  
Charles L. Gagnebin III  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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